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07-02-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

ASP-0028

First Inventor

Peter Zhu

Title

NON-HAZARDOUS BASIC NEUTRALIZATION OF  
ALDEHYDES RELATED APPLICATIONS

Express Mail Label No.

EL691438869US

(only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

See MPEP Chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 21]  
(Preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 1]
5. ☒ Newly executed (**NOT SIGNED**)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
- Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certifications under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: , filed  
Prior application information: Examiner Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label **000027777** or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.  
Address: Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003 USA

## 20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Theodore J. Shatynski at:  
Telephone: (732) 524-2498 Fax: (732) 524-2808

## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Theodore J. Shatynski	Reg. No. 36676
SIGNATURE	<i>Theodore J. Shatynski</i>	
DATE	June 29, 2001	

06/29/01



000027777

PTO  
J1046 U.S.  
09/896589



06/29/01

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Applicant(s): Peter Zhu

For : NON-HAZARDOUS BASIC NEUTRALIZATION OF ALDEHYDES

Express Mail Certificate

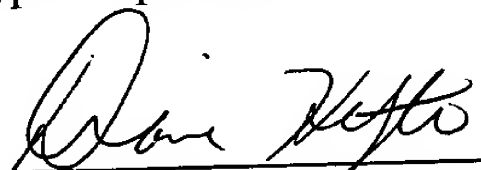
Express Mail" mailing number: EL691438869US

Date of Deposit: June 29, 2001

I hereby certify that this complete application, including specification pages, claims, drawing and Combined Declaration and Power of Attorney (not signed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

A executed Combined Declaration and Power of Attorney will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Diane Hetzler  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper of fee)

<b>FEE TRANSMITTAL</b>	Complete if Known	
	Application Number	
	Filing Date	June 29, 2001
	First Named Inventor	Peter Zhu
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	ASP-0028

## FEE CALCULATION

### CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$710.00
TOTAL CLAIMS	72 - 20 =	52	x 18.00	\$ 936.00
INDEPENDENT CLAIMS	2 - 3 =	0	x 80.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$270.00	
			TOTAL FEES	\$1,646.00

## METHOD OF PAYMENT

- ☒ Please charge Deposit Account No. 10-0750/ASP-0028 /TJS in the amount of \$1646.00.  
Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ASP-0028/TJS. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>			Complete (if applicable)
Typed or Printed Name	Theodore J. Shatynski		Reg. No. 36676
Signature	<i>Theodore J. Shatynski</i>	Date: 06/29/2001	<b>Deposit Account No. 10-0750</b>